

Complying with NIH Research Performance Progress Report (RPPR)

Updates to Other Support information are required for the PD/PI and for those individuals considered by Caltech to be key to the project for whom there has been a change in other support. Senior/key personnel are defined as individuals who contribute in a substantive measurable way to the scientific development or execution of the project, whether or not a salary is requested. NIH has added the following question to the RPPR: "D.2.c Changes in Other Support - Has there been a change in the active other support of senior/key personnel since the last reporting period?" Follow the detailed instructions beginning on page 86 of the document/page 93 RPPR instructions PDF.

D.2.c Changes in other support.

Has there been a change in the active other support of senior/key personnel since the last reporting period?

If yes, upload active other support for senior/key personnel whose support has changed and indicate what the change has been. List the award for which the progress report is being submitted and include the effort that will be devoted in the next reporting period.

Select **Yes** only if active support has changed for the PD/PI(s) or senior/key personnel.

If there have been changes in senior/key personnel's Other Support, prepare a revised Other Support report (link to JIT instructions), and upload it to the RPPR. Annotate the information so it is clear what has changed since the last submission.

The screenshot shows a web form for the RPPR Section D. Participants – Questions D2c – D2e. It contains three main sections:

- D.2.c Changes in Other Support**: A question "Has there been a change in the active other support of senior/key personnel since the last reporting period?" with radio buttons for "Yes" and "No". Below it, a text input field for "If yes, upload active other support for senior/key personnel whose support has changed and indicate what the change has been" is followed by "Add Attachment", "Delete Attachment", and "View Attachment" buttons.
- D.2.d New Other Significant Contributors**: A question "Are there, or will there be, new other significant contributors?" with radio buttons for "Yes" and "No". Below it, a text input field for "If yes, upload biosketches for all new other significant contributors." is followed by "Add Attachment", "Delete Attachment", and "View Attachment" buttons.
- D.2.e Multi-PI (MPI) Leadership Plan**: A question "Will there be a change in the MPI Leadership Plan for the next budget period?" with radio buttons for "N/A", "Yes", and "No". Below it, a text input field for "If yes, upload a revised MPI Leadership Plan that includes a description of the change(s)" is followed by "Add Attachment", "Delete Attachment", and "View Attachment" buttons.

At the bottom of the form, there are "Save" and "Cancel" buttons, and a navigation menu with links: "Cover Page", "Accomplishments", "Products", "Participants", "Impact", "Changes", "Special Reporting Req", "Budget", and "Outcomes".

Figure 90: RPPR Section D. Participants – Questions D2c – D2e

Contact OSR if you have questions about completing the RPPR.

Request Prior Approval for Foreign Components including, any significant part of an NIH project performed outside of the U.S., including, but not limited to:

- international collaborations involving activities outside of the U.S.



- other research activities related to the grant, performed at a foreign location

See the Caltech guidance on research collaborations for more information.

Updates to Biosketch

At this time, there is no ability to report updated Biosketch information to NIH in the RPPR.

Reporting Undisclosed Relationships to NIH

Effective [January 25, 2022](#), Caltech will be required to inform NIH immediately of any missed disclosures of Other Support. NIH states: *When a recipient organization discovers that a PI or other Senior/Key personnel on an active NIH grant failed to disclose Other Support information outside of Just-in-Time or the RPPR, as applicable, the recipient must submit updated Other Support to the Grants Management Specialist named in the Notice of Award as soon as it becomes known.*

If you believe you need to disclose new information to NIH, please contact OGC. If you and OGC determine that there is something to report, you will work with OSR to submit the retroactive disclosure to NSF using an "Other Request" in Research.gov.

NIH references:

[NIH and Other PHS Agency Research Performance Progress Report \(RPPR\) Instruction Guide](#) May 22, 2017

[Other Support Instructions \(updated March 2021\)](#)

[Frequently Asked Questions \(FAQs\) - Other Support and Foreign Components \(updated March 2021\)](#)

[Protecting US Biomedical Intellectual Innovation](#)

[Reminders of NIH Policies on Other Support and on Policies related to Financial Conflicts of Interest and Foreign Components](#)

[COGR - Commentary on Disclosing Other Support and Other Resources in Research Funded by the National Institute of Health](#)